**Notification by Out-of-State Trust Company of Business Activity**

Notification of intent for out-of-state trust company to conduct business in Kentucky, pursuant to KRS 286.3-146(2).

1. Name of Company.

.Click or tap here to enter text.

1. Name under which Company will conduct business in Kentucky (if different than above).

.Click or tap here to enter text.

1. Mailing address of main office.

.Click or tap here to enter text.

1. Physical address of main office (if different than above).

.Click or tap here to enter text.

1. Summary of activities to be conducted in Kentucky (attach separate page if needed).

.Click or tap here to enter text.

1. Pursuant to KRS 286.3-146(2), I confirm that a Kentucky trust company is permitted, under Company’s home state laws, to conduct substantially similar activity in Company’s home state? (Select one) [ ]  Yes [ ]  No

Citation to home state’s law(s) related to the permitted activities of out-of-state trust companies:

.Click or tap here to enter text.

1. Company’s agent for service of process in the state of Kentucky. (If none, go to item number 8.)

Name of Agent: Click or tap here to enter text.

Physical Address: Click or tap here to enter text.

Telephone Number: Click or tap here to enter text.

Email Address: Click or tap here to enter text.

I certify that Company will, at least five days prior to any change in the agent for service of process information provided in the above section, notify the commissioner of the change and update the information. (Check box to agree) [ ]

1. Pursuant to KRS 286.3-146(2)(a)2.b., Company states that it does not have an agent for service of process in this state, andCompany affirmatively provides an irrevocable consent appointing the Secretary of State as the Company’s agent to receive lawful process issued against Company in the state?

Check box to agree [ ]  Not Applicable [ ]  Initial here to confirm response: Click or tap here to enter text.

1. Pursuant to KRS 286.3-146(6), I certify that Company will comply with all applicable laws of Kentucky and that Company will annually make such certification to the commissioner. (Check box to agree) [ ]

***KRS 286.3-146(6) An out-of-state trust company that conducts trust business, establishes an office, or acquires and maintains an office in this state shall confirm to the commissioner, prior to commencing business in this state, and at least annually thereafter, that for so long as it conducts trust business, or maintains a trust office or trust representative office, in this state, it will comply with all applicable laws of this state.***

**I hereby certify that I have truthfully completed the foregoing application and certify that I am authorized to act on behalf of the Company.**

Signature: Click or tap here to enter text.

Signer’s Title: Click or tap here to enter text.

Signer’s Email Address: Click or tap here to enter text.

Signer’s Phone Number: Click or tap here to enter text.

**Submit completed notification along with a copy of the applicable Secretary of State filing to the Department at** **kfi.ddi@ky.gov****.**